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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/565,823	01/25/2006	Hiroshi Kaneta	Q92714	9638
23373	7590	01/06/2011	EXAMINER	
SUGHRUE MION, PLLC			LI, JUN	
2100 PENNSYLVANIA AVENUE, N.W.			ART UNIT	
SUITE 800			PAPER NUMBER	
WASHINGTON, DC 20037			1732	
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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

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**Office Action Summary****Application No.**

10/565,823

**Applicant(s)**

KANETA, HIROSHI

**Examiner**

JUN LI

**Art Unit**

1732

**Period for Reply** -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 29 November 2010.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-19 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-19 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftperson's Patent Drawing Review (PTO-912)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_

**DETAILED ACTION**

***Claim Rejections - 35 USC § 112***

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claim 16-17 and 18-19 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. In the instant case, there is no description for supporting the thickness of the electrodes (both positive and negative electrode) on both side of the current collector being substantially the same in the original disclosure, therefore, one of ordinary skill in the art would not be able to make and use such invention.

***Claim Rejections - 35 USC § 103***

The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

**1. Claims 1-19 are rejected under 35 U.S.C. 103(a) as being unpatentable over Tanjou (US2003/0215702) in view of Yomashita (US6287720), Yoshida (US6291102), Nakai (US2002/0102460) and Shimamura (US2003/0113621).**

Tanjou teaches a secondary cell module comprising a combination cell formed by the positive electrode terminal and/or the negative electrode terminal connected in series and/or in parallel with each other through a metal bus-bar and a casing which contains this combination cell (abstract, [0090]) to make a small-sized and light-weighted as well as thin-shaped secondary battery ([0010]). Tanjou further teaches the positive electrode (item 5a, Figure 1-4) formed by laminating positive electrode active material on both sides of positive current collector made of aluminum, negative electrode (item 5b, figure 1-4) formed by laminating negative electrode active material on both sides of the negative current collector, electrolytic solution (item 4a) contained in flexible outer wrapper of envelop type (item 4c), separator (item 5c) laminating negative and positive electrode ([0090], claim 1, 2) wherein a laminated secondary battery is expected.

Regarding claim 1 and 13, Tanjou fails to expressly teach the output discharge capacity, separator thickness, active material size and thickness, negative active material comprising amorphous carbon, ratio between active material width and lead terminal width.

However, Tanjou indicates that the secondary battery energy capacity, energy, power etc can be designed with probable battery cell numbers ([0036]).

Yamashita teaches a separator used in conventional battery including lithium secondary battery can have a thickness not less than 25  $\mu\text{m}$  (col. 2 lines 4-20, lines 25-39, col. 8 lines 10-17). Yamashita further discloses a separator of thickness of 100nm-100  $\mu\text{m}$  (col. 7 lines 52-58, abstract).

It would have been obvious for one of ordinary skill in the art at the time of invention filed to adopt such separator thickness as shown by Yamashita to modify the secondary battery of Tanjou because such thickness separators are well known in the art and such thickness of separator can provide enough mechanical strength to avoid breakage of separator as suggested by Yamashita (col. 2 lines 35-37, col. 8 lines 10-17).

Yoshida teaches a tabular laminated lithium secondary battery body having a plurality of electrode laminates which is put into a firm case (Fig 5, col. 1 lines 38-55, abstract). Yoshida further discloses such battery using active material with particle size ranges from 0.3-20  $\mu\text{m}$  (col. 6 lines 48-50, clm. 1, 8-9), positive current collector (an aluminum foil) with a thickness of 20  $\mu\text{m}$  wherein the positive current collector is applied a coating of positive active material with a thickness of 100  $\mu\text{m}$  (col. 8 lines 1-5), negative current collector (a copper foil) with a thickness of 12  $\mu\text{m}$  wherein the negative current collector is applied a coating of negative active material with a thickness of 100  $\mu\text{m}$  (col. 8 lines 6-13). Yoshida also discloses negative active material can be carbonaceous material such as graphitizing carbon, non-graphitizing carbon (col. 6 lines 40-48). It is noted that the positive current collector thickness is 20% of the coated active material layer thickness and negative current collector thickness is more than 10% of the coated negative active material layer thickness. It is also noted that Yoshida disclosed an active particle sizes overlapping with the ranges of that in the instant claims thus renders a prima facie case of obviousness (See § MPEP 2144.05 [R-5] I).

It would have been obvious for one of ordinary skill in the art at the time of invention filed to adopt such active material particle size as shown by Yoshida to modify the secondary battery of Tanjou because such size active particles will provide a probable surface area active material for the battery and also help forming a thin film with even surface to adhere to the separator as suggested by Yoshida (col. 6 lines 50-59).

It would have been obvious for one of ordinary skill in the art at the time of invention filed to adopt such current collector thickness, active material coating thickness as shown by Yoshida to modify the secondary battery of Tanjou because such current collector thickness and active material coating thickness can help making a lithium secondary battery with improved charge and discharge efficiency, increased energy density and reduced thickness as suggested by Yoshida (col. 3 lines 28-38, col. 8 lines 1-13, abstract). Furthermore, combining known elements for predictable results is well within the scope of one ordinary skill in the art.

Nakai teaches both graphite (graphitizing carbon) and amorphous carbon (non-graphitizing carbon) can be used as negative electrode active material (Table 1, [0008]-[0010], table 2, examples) in lithium secondary battery. Nakai also discloses the positive current collector thickness being 20  $\mu\text{m}$ , positive active material layer thickness of being 109  $\mu\text{m}$  while negative current collector thickness being 10  $\mu\text{m}$ , negative active material layer being 70 or 79  $\mu\text{m}$  ([0019], [0021], [0062], [0087], [0092]). It is noted that the positive current collector thickness is 20% of the coated active material layer thickness and negative current collector thickness is more than 10% of the coated

negative active material layer thickness. It is also noted that Yoshida disclosed an active particle sizes overlapping with the ranges of that in the instant claims thus renders a prima facie case of obviousness (See § MPEP 2144.05 [R-5] I).

It would have been obvious for one of ordinary skill in the art at the time of invention filed to adopt amorphous carbon as negative electrode active material to modify the secondary battery of Tonjou because amorphous carbon can be successfully used as negative material for providing a lithium secondary battery with high safety, high capacity and high power as suggested by Nakai ([0008]). It would have been obvious for one of ordinary skill in the art at the time of invention filed to substitute one functional equivalent with another one, such as substituting graphite with amorphous carbon as negative electrode material for predictable results, i.e. a lithium secondary battery with high safety, high capacity and high power as suggested by Nakai ([0008]).

It would have been obvious for one of ordinary skill in the art at the time of invention filed to adopt such current collector thickness, active material coating thickness as shown by Nakai to modify the secondary battery of Tanjou because such current collector thickness and active material coating thickness can help making a lithium secondary battery with high safety, high capacity and high power as suggested by Nakai ([0008]). Furthermore, combining known elements for predictable results is well within the scope of one ordinary skill in the art.

Shimamura teaches the width ratio of the current collector and lead terminal can be 1 (abstract, Figure 1, [0053]) and the positive current collector thickness can be 20  $\mu\text{m}$  and negative current collector can be 10  $\mu\text{m}$  (example 1).

It is to be noted that the width of the current collector is the width of the active material region in light of the instant specification (page 17 first 5 lines and Figure 2). Tanjou also further teaches the width of the terminal to certain extent is desired for intended uses in electric automobile ([0093]).

It would have been obvious to one of ordinary skill in the art at the time of invention filed to adopt the ratio between the current collector (i.e. active material) and lead terminal as shown by Shimamura to improve the secondary battery of Tanjou. One of ordinary skill in the art would have been motivated to do so because a large width lead terminal with a ratio (relative to the active material region) larger than the recited range is well known and desired for intended use in electric automobiles in the art and adopting known technique for improving efficiency of similar product is well within the scope of one ordinary skill in the art.

It would have been obvious to one of ordinary skill in the art to adopt recited current collector thickness as shown by Shimamura to improve the secondary battery of Tanjou because combining known elements for predictable results is well within the scope of one ordinary skill in the art.

As for the recited 10-second output value, it is noted that the applied references already teaches a substantially similar battery, thus substantially similar output value as recited in the instant claims are expected absent evidence to the contrary. Furthermore, Tanjou already indicates that the secondary battery energy capacity, energy, power etc can be designed with probable battery cell numbers ([0036]) and Yoshida already suggests a lithium secondary battery with improved charge and



discharge efficiency, increased energy density and reduced thickness is always desired (col. 3 lines 28-38, col. 8 lines 1-13, abstract). It would have been obvious for one of ordinary skill in the art at the time of invention filed to obtain a lithium secondary battery with high energy output as recited in the instant claims via routine optimization.

Regarding claim 2-3 and 6, Tanjou further teaches positive terminal (item 8a figure 4) and negative terminal are draw out facing each other (figure 4, [0095]). Tanjou teaches the surface area of bus-bar is more than 1.5 time bigger than the surface area of terminal ([0096], [0097]) wherein the terminal are exposed outside the case (Figure 10).

Regarding claim 4 and 7-9, Tanjou already teaches a case containing a plurality of secondary lithium ion battery cell combination (abstract, claim 1, 2, [0005], [0010]).

Regarding claim 5 and 10-12, Tanjou fails to expressly teach cooling the positive and negative electrode terminal.

Shimamura further teaches using a cooling wind sent to the terminal electrode portions (figure 3A, B, [0038], [0037]) for improving the lifetime of the battery.

It would have been obvious to one of ordinary skill in the art at the time of invention filed to adopt cooling wind sent to electrode terminals as shown by Shimamura to improve the secondary battery of Tanjou. One of ordinary skill in the art would have been motivated to do so because applying a cooling wind can help control the temperature increase of the battery thus improve the battery lifetime as indicated by Shimamura ([0037]) and adopting known technique for improving efficiency of similar product is well within the scope of one ordinary skill in the art.

Regarding claim 14 and 15, there is no structural limitation to render the claimed secondary battery patentable. Furthermore, the references already teach a substantially similar battery, thus similar suppressing an increase of internal resistance is expected.

Regarding claim 16-17 and 18-19, Tonjou already discloses active material can be formed on both side of the current collectors as discussed above.

Nakai further teaches the active material slurry (both negative and positive) are applied to both side of the current collectors ([0019], [0021], [0087], [0092]), therefore, the active material layer thickness is same on both sides of the current collectors (Fig 1, layer W2, W4).

It would have been obvious for one of ordinary skill in the art at the time of invention filed to adopt same thickness active material layer on both sides of the current collectors as shown by Nakai to modify the secondary battery of Tonjou because such same thickness active material layers on both sides of current collectors can be successfully used for providing a lithium secondary battery with high safety, high capacity and high power as suggested by Nakai ([0008]). Furthermore, adopting known technique for improving efficiency of similar apparatus is well within the scope of one ordinary skill in the art.

### ***Response to Arguments***

Applicant's arguments filed 11/29/2010 have been fully considered but they are not persuasive. In response to applicant's arguments about Yoshida's example

disclosing graphite as carbon material, Yoshida generally teaches nongraphitizing carbon can be used as negative electrode material. It is noted that reference's teachings are not limited by its preferred embodiment. As for the thickness of the separator, active material layer used in specific example associated with graphite, it is noted that such thickness range are well known in the art as shown by Nakai. Thus to one of ordinary skill in the art it would have been obvious to use such well known technique to produce a desired lithium secondary battery. As for the amorphous carbon as negative carbon, Nakai demonstrates that both graphite and amorphous carbon can be used as negative electrode active material for producing a lithium secondary battery with high safety, high power, high capacity (examples, table 1, table 2). Thus to one of ordinary skill in the art it would have been obvious to adopt amorphous carbon as negative electrode material. As for the added new limitations about active material layer being formed on both sides of the current collectors with same thickness, newly applied reference Nakai discloses such limitations.

### ***Conclusion***

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within

TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to JUN LI whose telephone number is (571)270-5858. The examiner can normally be reached on Monday-Friday, 9:00am-5:30 pm EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Curtis Mayes can be reached on 571-272-1234. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a

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/JUN LI/  
Examiner, Art Unit 1732  
12/30/2010

/Melvin Curtis Mayes/  
Supervisory Patent Examiner, Art Unit 1732